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# Paraspinal Muscle Health is Related to Fibrogenic, Adipogenic, and Myogenic Gene Expression in Patients with Lumbar Spine Pathology

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## Abstract

**Background:** Lumbar spine pathology is a common feature of lower back and/or lower extremity pain and is associated with observable degenerative changes in the lumbar paraspinal muscles that are associated with poor clinical prognosis. Despite the commonly observed phenotype of muscle degeneration in this patient population, its underlying molecular mechanisms are not well understood. The aim of this study was to investigate the relationships between groups of genes within the atrophic, myogenic, fibrogenic, adipogenic, and inflammatory pathways and multifidus muscle health in individuals undergoing surgery for lumbar spine pathology.

**Methods:** Multifidus muscle biopsies were obtained from patients ( $n = 59$ ) undergoing surgery for lumbar spine pathology to analyze 42 genes from relevant adipogenic/metabolic, atrophic, fibrogenic, inflammatory, and myogenic gene pathways using quantitative polymerase chain reaction. Multifidus muscle morphology was examined preoperatively in these patients at the level and side of biopsy using T2-weighted magnetic resonance imaging to determine whole muscle compartment area, lean muscle area, fat cross-sectional areas, and proportion of fat within the muscle compartment. These measures were used to investigate the relationships between gene expression patterns and muscle size and quality.

**Results:** Relationships between gene expression and imaging revealed significant associations between decreased expression of adipogenic/metabolic gene (PPARD), increased expression of fibrogenic gene (COL3A1), and lower fat fraction on MRI ( $r = -0.346$ ,  $p = 0.018$ , and  $r = 0.386$ ,  $p = 0.047$  respectively). Decreased expression of myogenic gene (mTOR) was related to greater lean muscle cross-sectional area ( $r = 0.388$ ,  $p = 0.045$ ).

**Conclusion:** Fibrogenic and adipogenic/metabolic genes were related to pre-operative muscle quality, and myogenic genes were related to pre-operative muscle size. These findings provide insight into molecular pathways associated with muscle health in the presence of lumbar spine pathology, establishing a foundation for future research that addresses how these changes impact outcomes in this patient population.

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**Keywords:** Low back pain, Skeletal muscle, Multifidus, Degeneration, Atrophy, Fatty infiltration, Muscle health, Lumbar spine pathology, Surgery

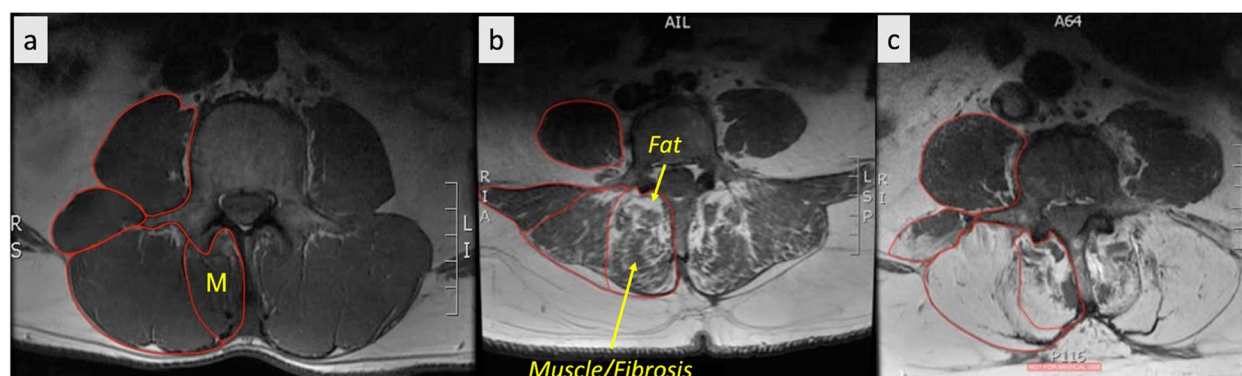
## Background

Lumbar spine pathology (LSP) is a common musculoskeletal condition leading to lower back and lower extremity pain and dysfunction. An estimated 75% of individuals with LSP experience suboptimal outcomes, including decreases in functional capacity of paraspinal muscles, ongoing pain and disability, recurrence of symptomatology often requiring surgical intervention, and a general lack of responsiveness to conservative interventions such as exercise-based rehabilitation [1–9]. Individuals with LSP typically demonstrate pathological changes in paraspinal musculature in comparison to healthy individuals [10–14]. The multifidus muscle is of particular interest due to its role in stabilizing the lumbar spine [15–17].

Commonly observed multifidus adaptations in individuals with LSP include increased accumulation of fat (Fig. 1), and collagen within muscle [10, 12, 13, 18–21]. Muscle atrophy has also been observed in some studies [13, 21–27]. Histological studies have similarly demonstrated muscle fiber degeneration [10, 19, 28], deposition of fibrotic tissues [10, 29, 30], increased inflammatory biomarkers [10, 31], and reductions in vascularity [10, 32] in paraspinal muscle biopsies from both humans and animals. Importantly, although paraspinal muscle degeneration has not been identified as a causal source for LSP, it has been associated with increased likelihood of symptom recurrence, poor post-operative outcomes, and reduced strength, endurance, and function, all of which may contribute to a poor prognosis in this patient population [7, 33–35]. The clinical implications of muscle health in the context of spine surgery is further

highlighted by recent studies demonstrating a higher risk of proximal junctional kyphosis in patients with decreased back muscle volume and cross sectional area [36, 37]. Multifidus muscle degeneration was also associated with interbody cage subsidence in retrospective cohorts of patients undergoing both oblique and transforaminal lateral interbody fusions for LSP [38, 39].

Although the various studies referenced above reveal increasing knowledge surrounding the clinical relevance of paraspinal muscle health on surgical outcomes, few human studies exist describing the molecular mechanisms underlying pathological muscle in LSP [29, 40–43]. Prior work has demonstrated relationships between individual genes and paraspinal muscle degeneration [31, 44–47], which oversimplifies the underlying mechanisms behind muscle adaptation. To our knowledge, only one prior study has cumulatively looked at gene expression in the multiple pathways associated with muscle degeneration in individuals with LSP [29]. While this study compared gene expression in chronic versus acute LSP, it did not look at the association between gene expression levels and objective measures of muscle health. Muscle health and function is influenced by structural features such as size (cross-sectional area) and tissue composition (e.g., amount of fatty infiltration within the muscle compartment). For example, smaller muscles with higher levels of fatty infiltration demonstrate impaired performance and adaptation potential [48, 49]. However, literature on muscle-related impairments in the presence of LSP is lacking with respect to mechanism for impaired



**Fig. 1** Pathological muscle adaptations. T2-weighted axial MRIs of the lumbar paraspinal muscles (red outlines) from three different individuals, depicting muscle degeneration. Panel (a) depicts an individual with minimal fatty infiltration of the multifidus (M) muscle compartment. Panel (b) depicts an individual with moderate fatty infiltration, while panel (c) depicts an individual with high fatty infiltration. In conventional T2-weighted MRI imaging, muscle and fibrosis both appear dark and fatty tissue appears bright, as depicted above

recovery potential. Therefore, the aim of this study is to investigate the relationship between macroscopic features of multifidus muscle pathology (atrophy and fatty infiltration) and transcriptional profiles representing impaired muscle recovery in individuals undergoing surgery for LSP. We hypothesized that (1) preoperative multifidus muscle size would be related to myogenic, atrophic, and fibrogenic gene expression and that (2) pre-operative multifidus fatty infiltration would be related to adipogenic gene expression. Understanding the tissue level adaptations in the presence of pathology will provide a springboard for identifying the most successful interventional approaches. Similarly, identifying defects in muscle recovery potential will provide insight into which combinations of treatments will be most likely to resolve structural and functional impairments in diseased muscle.”

## Methods

### Cohort

This was a cross sectional observational study of 59 individuals undergoing lumbar spine surgery for degenerative LSP. All patients consented to intraoperative biopsies of the multifidus muscle and were included if they were undergoing primary surgery including laminoforaminotomies, laminectomies, discectomies, or fusions (1 to 2 levels). Patients with any diagnosed myopathy or systemic neurological condition were excluded. This study was performed in accordance with the Declaration of Helsinki and under approval of the UC San Diego Institutional Review Board (IRB111647). Demographic and condition-specific characteristics including age, gender, symptom duration, diagnosis, Oswestry disability index (ODI), and Numerical pain rating scale (NPRS) rating were collected pre-operatively.

### Muscle Biopsy

Multifidus muscle biopsies were obtained intraoperatively during a posterior approach to the spine ( $n=59$ ). Muscle tissue was collected at a standardized anatomical location 1 cm lateral to the spinous process at the spinolaminar border at the level and side of primary pathology as previously described [10]. For patients with bilateral symptomatology, biopsies were obtained on the side of surgeon's approach preference. Biopsies were handled using sterile techniques and immediately pinned at in vivo length longitudinally using non-ferrous magnetic pins and cork, and flash frozen using liquid nitrogen-cooled isopentane. Biopsies were then transported on dry ice to the laboratory where they were stored at  $-80^{\circ}\text{C}$  until processing, as previously described [10, 29].

### RNA Isolation and Quantitative PCR

Approximately 25–50 mg of a given muscle biopsy was homogenized in a round bottom bead tube (Navy, NextAdvance) with 1 ml of QIAzol (Qiagen). RNeasy spin columns (Qiagen) were used to extract ribonucleic acid (RNA) by following the manufacturer's protocol. Extracted RNA was analyzed for concentration and quality using QIAxpert Analysis (Qiagen). After determining acceptable purity and concentration, one microgram of complementary deoxy nucleic acid (cDNA) was reverse transcribed using the iScript cDNA Synthesis Kits (Bio-rad). Quantitative polymerase chain reaction (qPCR) was performed on custom plates (Biorad) on a BioRad CFX384 Touch qPCR analyzer for a panel of 42 genes associated with adipogenic/metabolic, atrophic, fibrogenic, inflammatory, and myogenic pathways, and 40S Ribosomal Protein (RPS18) and Beta-Actin (ACTB) as controls (Table 1).

Cycle threshold values (Ct-values) were determined using a SYBR green fluorophore. On-plate quality assessment was performed to assess genomic DNA contamination and RNA quality.

### Tissue composition

Ten-micron sections of each tissue biopsy were obtained from OCT-embedded frozen samples using a Leica (CM3050S, Buffalo Grove, USA) cryostat. Hematoxylin and Eosin (H&E) and Gomori Trichrome stains were used to visualize gross muscle morphology and quantify tissue content [50]. ImageJ (<http://imagej.nih.gov/ij>) was used to automatically quantify the relative fractions of muscle, adipose, loose collagen, and dense collagen in Trichrome-stained biopsy [51]. Briefly, tissue type was determined by manual intensity thresholding of the red (muscle), green (loose collagen), and blue (dense collagen) channels of whole-section RGB images, while adipose tissue was identified morphologically and traced [50, 52]. This methodology has been previously used and described in detail [10, 42].

### Image analysis

Pre-operative T2-weighted clinical magnetic resonance images (MRIs) ( $n=54$ ) were obtained of the lumbar spine within the 6-months preceding surgery. All images were obtained using a 3 T MRI system (GE MR 750, GE Healthcare, Waukesha, WI, USA) and a spine array coil. Subjects were positioned head-first, supine and centered at the umbilicus. Axial multi-slice 2D images were obtained using the following imaging parameters: slice thickness=8 mm with 0 gaps and 22 slices covering the entire lumbar spine from L1-S1, acquisition matrix=128 × 128, FOV=256 × 256 mm [2].

**Table 1** Genes and gene categories. Panel of 42 functional genes associated with adipogenic/metabolic, atrophic, fibrogenic, inflammatory, and myogenic pathways in skeletal muscle. Genes were examined using qPCR on custom cDNA plates containing these 42 genes of interest, and 40S Ribosomal Protein (RPS18) and Beta-Actin (ACTB) as controls

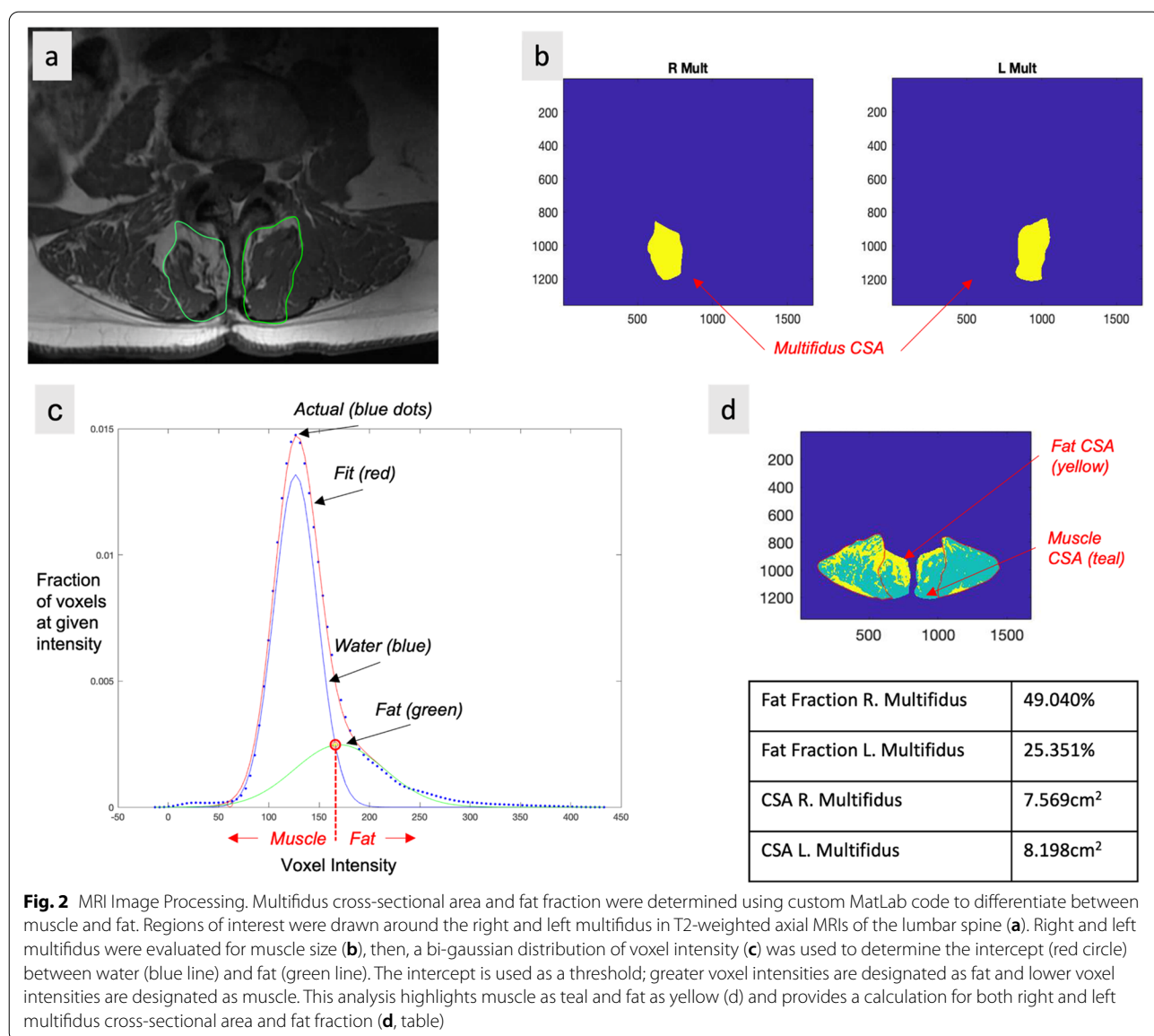
Gene Category	Adipogenic/ Metabolic	Atrophic	Fibrogenic	Inflammatory	Myogenic
Gene Name (Abbreviation)	Peroxisome Proliferator-Activated Receptor Gamma (PPARG), PPARG Coactivator 1 Alpha (PPARGC1A), Peroxisome Proliferator-Activated Receptor Delta (PPARD), Fatty Acid Binding Protein 4 (adipocyte specific) (FABP4), CCATT/Enhancer Binding Protein Alpha (CEBPA), Adiponectin (ADIPOQ), Wnt Family Member 10B (WNT10B)	Myostatin/ Growth Differentiation Factor 8 (MSTN), Activin Receptor 2B (ACVR2B), Tripartite Motif Containing 63/E3 Ubiquitin Ligase (TRIM63), Forkhead Box O3 (FOXO3), F-box only protein 32 (FBXO32) Caspase-3 (CASP3), Caspase-1 (CASP1)	Platelet-Derived Growth Factor Receptor Alpha (PDGFR $\alpha$ ), Tissue Inhibitor of Metalloproteinase 3 (TIMP3), Tissue Inhibitor of Metalloproteinase 1 (TIMP1), Matrix Metalloproteinase 9 (MMP9), Matrix Metalloproteinase 3 (MMP3), Matrix Metalloproteinase 1 (MMP1), Lysyl Oxidase (LOX), Fibronectin 1 (FN1), Connective Tissue Growth Factor (CTGF), Collagen Type III Alpha 1 Chain (COL3A1), Collagen type I Alpha Chain (COL1A1), Transforming Growth Factor Beta 1 (TGFB1)	Tumor Necrosis Factor (TNF), Interleukin-6 (IL6), Interleukin-10 (IL10), Interleukin-1 Beta (IL1B)	Embryonic Myosin Heavy Chain (MYH3), Myosin Heavy Chain – Type 1 (MYH1), Insulin-like Growth Factor 1 (IGF-1), Cysteine and Glycine Rich Protein 3/ Muscle LIM Protein (CSRP3), Ankyrin Repeat and SOCS Box Containing 15 (ASB15), Ankyrin Repeat Domain 2-Stretch Responsive Muscle (ANKRD2), Paired Box 7 Transcription Factor (PAX7), Myogenin/Myogenic Factor (MYOG), Myogenic Differentiation 1/Myogenic Factor 3 (MYOD1), Myogenic Factor 5 (MYF5), Mammalian Target of Rapamycin (MTOR)

Open-source MRI processing software (Horos) was used to view and analyze axial MRI images. Muscle tissue was collected at a standardized anatomical location 1 cm lateral to the spinous process at the spinolaminar border at the level and side of primary pathology as previously described [10]. For patients with bilateral symptomatology, biopsies were obtained on the side of surgeon's approach preference, and location level was verified using intraoperative fluoroscopy. Biopsy locations were matched to the MRI slice closest to the inferior vertebral endplate at the biopsy level for further analysis. Regions of interest (ROIs) were drawn to include the whole multifidus muscle compartment by a single rater who was present for the surgical procedure. The image assessor

was a graduate student with training in image processing and spinal anatomy. These ROI methods have been previously described in detail and have been shown to have high inter- and intra-rater reliability with individuals of similar experience and training [53]. Images were analyzed for total multifidus compartment cross sectional area (CSA), lean muscle cross sectional area (M-CSA), fat cross sectional area (F-CSA), and proportion of fat within the muscle compartment (FF) using custom MatLab software (Fig. 2).

**Statistical analyses**

Raw Ct-values were obtained from all samples (n=59) and read into a qPCR expression set using the R



Bioconductor package high-throughput qPCR (HTqPCR) [28]. Ct-values were then quantile normalized to the mean Ct-value to obtain gene expression values for each gene. Lower Ct values indicated higher gene expression. To allow for statistical comparison, a maximum Ct-value of 39 was applied to all genes of interest as this value approximated negligible gene expression [28]. Unsupervised hierarchical clustering using Euclidean distance was applied to normalized expression values and generate heat maps in order to visualize potential patterns of gene expression across patients.

Pearson correlations were performed between all genes and all MRI imaging measures using SPSS version 28.00 (IBM Corp. 2021) for all patients with available muscle biopsies and corresponding MRI imaging measures ( $n=54$ ). Raw  $p$ -values from correlations were adjusted for multiple comparisons within each gene group using the Benjamini & Hochberg method. Significance was set at an adjusted  $p$ -value threshold of  $p < 0.05$ , and trends were defined as an adjusted  $p$ -value  $< 0.1$ . Data are reported as mean with standard deviation (SD). If correlations were statistically significant, multivariate linear regression was used to adjust for potential confounding by age, gender, duration of symptoms, biopsy tissue composition, and diagnosis.

## Results

Of the 59 patients with muscle biopsies, 54 patients had matching preoperative MRI imaging (Table 2). Participants were on average, 51.5 (SD 16.9) years old, with moderate to severe pre-operative disability, averaging 44.9 (SD 21.1) points as measured by the ODI, and moderate pre-operative pain, averaging 5.6 (SD 2.8) points on the NPRS. Most participants were male (59%) and had chronic ( $> 3$  months) symptoms (63%). Mean duration of symptoms was 29.5 months (SD 45.3). Histological tissue analysis demonstrated tissue samples to have a mean relative muscle fraction of 52.4% (SD 21.8). Diagnoses included disc herniation ( $n=25$ ), facet arthropathy ( $n=15$ ), degenerative spondylolisthesis ( $n=11$ ), and scoliosis or kyphoscoliosis ( $n=3$ ). The most common level of muscle biopsy was L4 (43%), followed by L3 (30%).

Normalized gene expression heat maps by gene category for all patients ( $n=59$ ) suggest higher expression of pro-fibrogenic genes, and lower expression of anti-fibrogenic and inflammatory genes in most participants (Fig. 3).

Relationships between gene expression and MRI ( $n=54$ ) revealed that lower expression of the adipogenic/metabolic gene PPARD (Fig. 4a), and higher expression of the fibrogenic gene COL3A1 (Fig. 4b), was associated with lower FF ( $r = -0.346$ ,  $p = 0.018$ , and  $r = 0.386$ ,  $p = 0.047$  respectively). These relationships remained

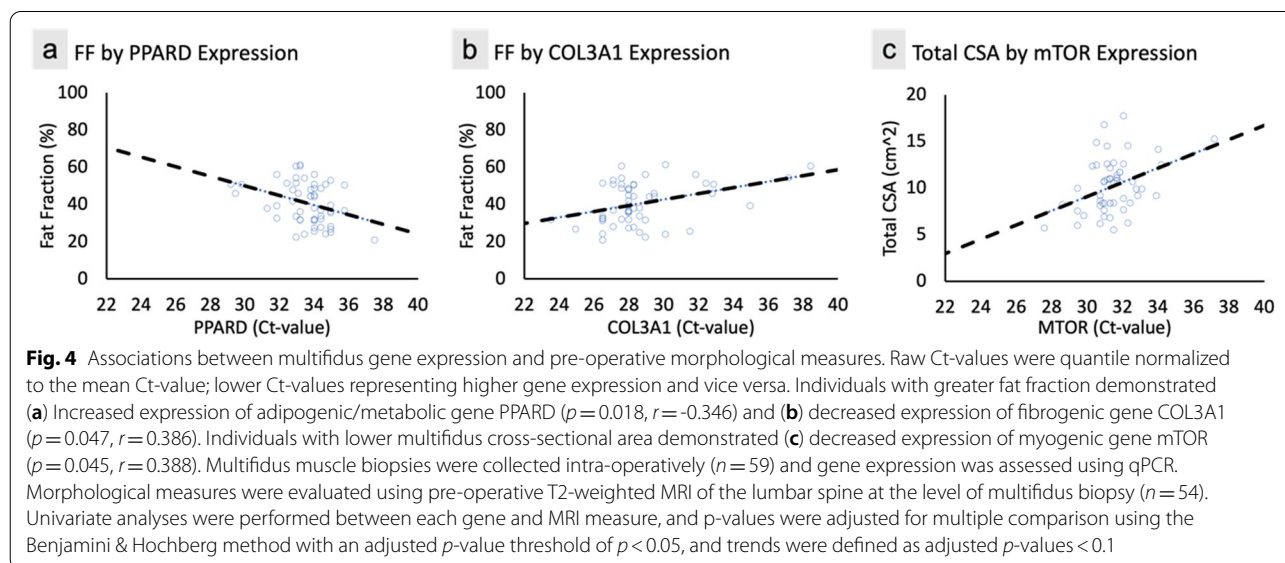
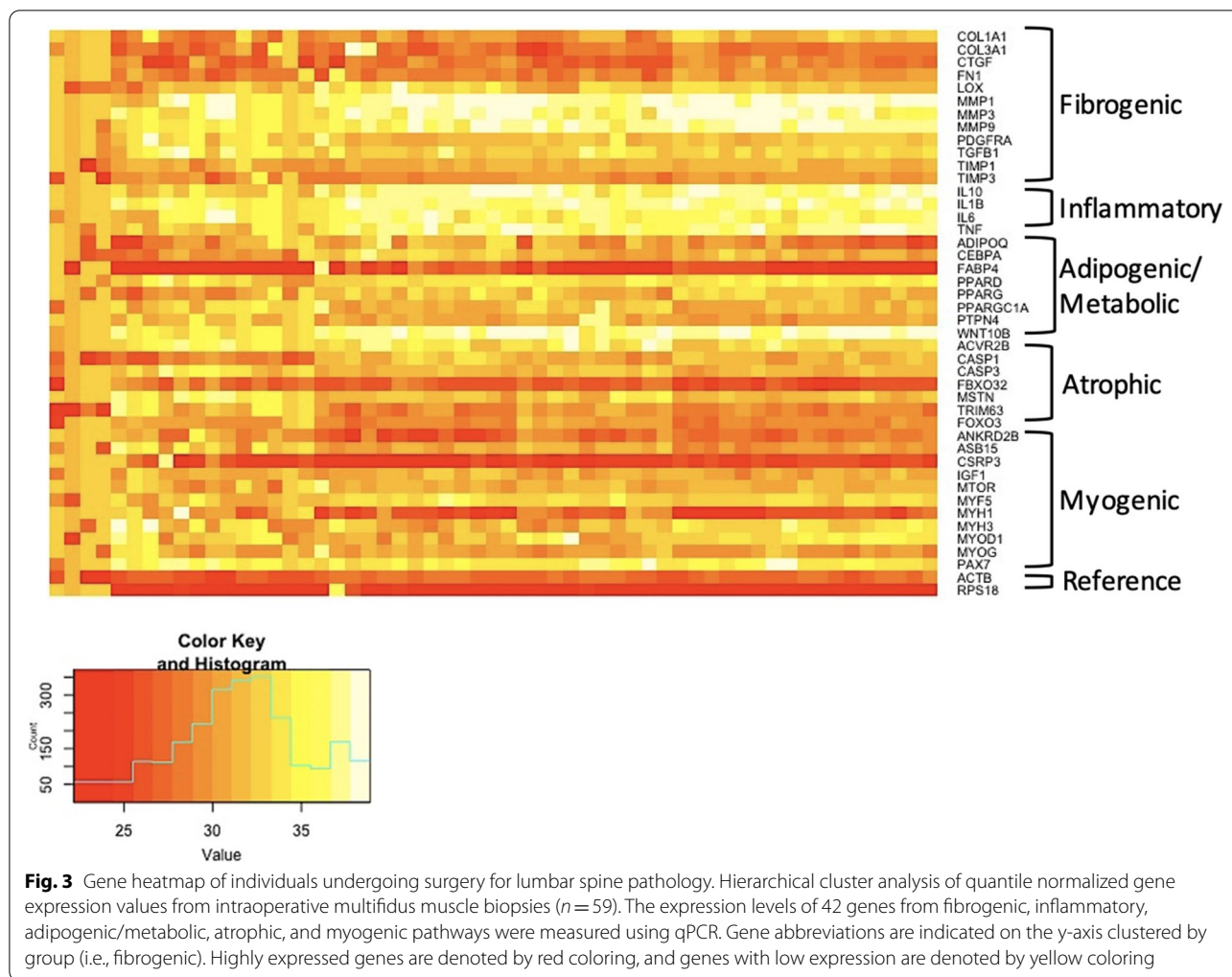
**Table 2** Patient demographics. Reported age, ODI, NPRS, gender, duration of symptomatology, and diagnoses. Most patients underwent surgery for disc herniation

Patient Demographics	( $n = 54$ )
Age (Years)—mean (SD)	51.5 (16.9)
ODI Baseline—mean (SD)	44.9 (21.1)
NPRS Baseline—mean (SD)	5.6 (2.8)
Gender (n)	
Female	22 (40.7%)
Male	32 (59.3%)
Duration of Symptomatology—mean (SD)	
Mean length of symptoms in months	29.5 (45.3)
Diagnosis (# of patients)	
Disc Herniation	25 (46.3%)
Facet Arthropathy	15 (27.7%)
Degenerative Spondylolisthesis	11 (20.4%)
Scoliosis/kyphoscoliosis	3 (5.5%)
Pathological Vertebral Level (# of patients)	
L1	1 (1.9%)
L2	0 (0%)
L3	16 (29.6%)
L4	23 (42.6%)
L5	14 (25.9%)

significant after correcting for diagnosis, gender, duration of symptoms, and relative fraction of muscle tissue, and were reduced to trends after correcting for age ( $r = -0.246$ ,  $p = 0.076$  for PPARD; and  $r = 0.26$ ,  $p = 0.060$  for COL3A1). For PPARD, the addition of age accounted for an increase in model variance ( $R^2$ ) explained from 23 to 36%. For every 1-year increase in age, an increase in fatty infiltration of 0.3% (SE 0.10%) is observed,  $p = 0.003$ . For COL3A1, the addition of age accounted for an increase in model variance explained from 26 to 37%. A similar beta weight for age was observed in this model (0.3%, SE 0.10%,  $p = 0.005$ ). Additionally, lower expression of the myogenic gene mTOR (Fig. 4c) was related to greater total multifidus compartment cross sectional area ( $r = 0.388$ ,  $p = 0.045$ ). This relationship remained significant after correcting for diagnosis, gender, duration of symptoms, relative fraction of muscle tissue, and age.

## Discussion

The broad aim of this study was to investigate the relationship between expression patterns of genes relevant to muscle adaptation and paraspinal muscle health (size and composition) before spine surgery for LSP. Our observations supplement previous literature demonstrating an LSP phenotype in human and animal models consistent with increases in fatty tissue [7, 8, 10, 11, 18, 20, 23, 27, 30, 35, 54, 55], and increases in fibrotic tissue [7, 29, 30,



42, 54, 56, 57] within multifidus and paraspinal muscles. Additionally, our gene findings supplement prior literature describing upregulation of genes and gene pathways involved in skeletal muscle atrophy [58–63], fibrotic tissue deposition [29, 30, 42, 64–68], and fatty infiltration [30, 31] in the context of a degenerative muscle phenotype. Furthermore, our findings supplement the few studies that have looked at gene findings and muscle morphology in humans with LSP [29, 42]. The relationship between muscle health in LSP and genes involved in changes in muscle composition (including fibrosis and adipose tissue deposition) provides insight into the mechanisms underlying muscle adaptation, warranting investigation of possible relationships between objective health measures and such gene findings.

We found that individuals with higher levels of pre-operative multifidus fatty infiltration demonstrated increased expression of adipogenic/metabolic genes, although this relationship covaried with age. PPARD is thought to trigger a switch from glucose/fatty acid metabolism to fatty acid metabolism, leading to reductions in body fat, although whether this is true in skeletal muscle is unknown [69]. The increased expression of PPARD may suggest that higher levels of intramuscular fat are driving greater metabolic demand.

We also observed that individuals with higher levels of multifidus fatty infiltration demonstrated lower expression of fibrogenic gene COL3A1. COL3A1 is one of various collagen isoforms that composes the extracellular matrix (ECM), secreted by muscle fibroblasts [70] in response to muscle injury [10, 29, 71]. Prior studies have shown upregulation of the COL1A1 isoform in humans with chronic lumbar pathology [29], however no studies have demonstrated significant associations with lower fat fraction in muscular phenotypes as seen in this study.

Our results demonstrated that age was more strongly associated with fat fraction than PPARD and COL3A1 expression, which is not surprising given that aging has been previously shown to be associated with greater multifidus fatty infiltration in individuals with LSP compared to healthy aging adults [11, 55, 72]. However, retention of a relationship between these genes and FF even after correcting for age may suggest that these genes are of interest for future investigations of muscle degeneration.

Regarding muscle composition and our MRI parameters, T2 imaging possesses limitations distinguishing between fat and inflammation, and between muscle and fibrosis. Other MRI methodologies such as fat–water separation techniques, 2-point DIXON, and IDEAL imaging may provide more accurate measures of fat content within the multifidus muscle compartment [73]. Additionally, muscle and fibrosis possess similar relaxation times with short signal decay such that they both

present as dark grey on MRI and are ultimately interpreted collectively as muscle using our current technique, which may result in an overestimation of muscle tissue and underestimation of fibrotic tissue within the multifidus muscle compartment [74]. Future studies using methods such as ultra-short echo-time (UTE) MRI may be able to differentiate between muscle and fibrosis due to short image acquisition times, which could help clarify the directionality and significance of these findings [74].

Finally, contrary to our hypothesis, we observed that individuals with larger multifidus CSA demonstrated decreased expression of pro-myogenic gene mTOR. Limitations in MRI acquisition technique may also help to explain our observation of decreased pro-myogenic gene expression of mTOR in individuals with greater multifidus muscle size. We expected to see increased expression of mTOR alongside greater multifidus muscle size, as mTOR is known to be a potent regulator of myogenesis [30].

This study has several limitations apart from those related to MRI acquisition. The gene expression patterns are cross sectional and thus provide no temporal resolution of the cellular mechanisms that may influence muscle changes over time. Additionally, skeletal muscle transcriptome patterns may be influenced by increasing age [75], which is not well understood in either a healthy or pathological context and may influence and confound gene expression patterns across subjects of different ages. Future studies in which fractional synthetic rates and protein abundance are measured may be more informative at the time of surgery given the chronic nature of LSP. Furthermore, while there were statistically significant associations between gene expression and muscle health as measured on MRI, we do acknowledge that the strength of these associations does not imply physiologic or clinical significance, especially without a comparison of normal healthy paraspinal tissue for reference. While future research would ideally include a healthy comparison to understand the significance of these findings, obtaining such tissue is often met with significant ethical and operational barriers. Finally, future research may look at how gene expression patterns relate longitudinally to post-operative muscle health.

## Conclusion

This study evaluated the relationship between multiple gene pathways and parameters of muscle health in humans with LSP. Although our preliminary findings suggest that some relationships between adipogenic, myogenic, and fibrogenic genes and muscle health phenotypes exist in individuals with LSP, these findings should be interpreted with caution as our findings were only in a small number of genes and our muscle health metrics were limited by our MRI methodology. Importantly, these relationships should be acknowledged in the context of patient age. These



findings add to the growing knowledge on the molecular underpinnings of muscle degeneration in human lumbar musculoskeletal disease and can help direct future research to further delineate relationships between gene expression and muscle health. Continued clarification regarding these molecular mechanisms can provide important potential targets for future research, with the end goal of improving patient function, recovery, and prognosis.

#### Abbreviations

CSA: Compartment cross sectional area; cDNA: Complimentary deoxynucleic acid; ECM: Extracellular matrix; F-CSA: Fat cross sectional area; FF: Proportion of fat within the muscle compartment; LSP: Lumbar spine pathology; M-CSA: Lean muscle cross sectional area; MRI: Magnetic resonance imaging; NPRS: Numerical pain rating scale; ODI: Oswestry disability index; qPCR: Quantitative polymerase chain reaction; SD: Standard deviation; UTE: Ultra-short echo-time.

#### Acknowledgements

Not applicable

#### Authors' contributions

Research design B.A, S.R.W, B.S. Data acquisition B.A, V.Z, R.T.A, S.R.G, R.S, M.F, B.S. Data analysis B.A, A.O, B.S. Manuscript preparation B.A, A.O, S.S, B.S. All authors have reviewed and approved the final submitted version of the manuscript.

#### Funding

This study was funded by the National Institute of Health: RO1HD088437. National Institutes of Health, RO1HD088437, RO1HD088437, RO1HD088437, RO1HD088437, RO1HD088437, RO1HD088437, RO1HD088437, RO1HD088437, RO1HD088437, RO1HD088437, RO1HD088437.

#### Availability of data and materials

The datasets used and/or analyzed during the current study are available from the corresponding author on reasonable request.

#### Declarations

##### Ethics approval and consent to participate

This study was performed in accordance with the Declaration of Helsinki and under approval of the UC San Diego Institutional Review Board (IRB111647). All patients underwent informed written consent prior to biopsy.

##### Consent for publication

Note applicable.

##### Competing interests

The authors declare that they have no competing interests.

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Received: 18 March 2022 Accepted: 14 June 2022

Published online: 24 June 2022

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